
APPLICATION FOR FINANCIAL ASSISTANCE

Oneida County Industrial Development Agency

153 Brooks Road
Rome, New York 13441-1405
(315) 338-0393 telephone
(315) 338-5694 fax

Shawna M. Papale, Executive Director

A non-refundable application fee of \$250.00 must be submitted at the time of application, to be credited to the Company at closing.

Please submit the original application and sixteen copies.

All applications must be submitted 10 days prior to meeting.

GLDC

Name of Applicant

Number (to be provided by the agency)

Note to Applicant:

The information requested by this form is necessary to determine the eligibility of your project for Agency benefits. Please answer **all** questions, inserting "none" or "not applicable" where appropriate. If you are providing an estimate, please indicate by inserting "est" after the figure. Attach additional sheets if more space is needed for a response than is provided.

Return the original application and sixteen copies with a check in the amount of \$250.00 made payable to: **Oneida County Industrial Development Agency**, 153 Brooks Road, Rome, New York 13441-1405, Attn.: Shawna M. Papale, Executive Director.

All information contained in this application will be treated confidentially, to the extent permitted by law. Be advised that any action brought before the OCIDA is public information. All agenda's for the OCIDA are issued prior to full agency meetings and posted in public domain

By signing and submitting this Application, the Applicant acknowledges that it received a copy of the Uniform Tax Exemption Policy adopted by the Agency and Agency Memorandums pertaining to the benefits of projects financed through the Agency.

A project financed through the Agency involves the preparation and execution of significant legal documents. Please consult with an attorney before signing any documents in connection with the proposed project.

Part I: Applicant Information

Note: In responding to the following questions, please keep in mind that the Applicant will be party to all of the documents and is the individual or entity which will receive the actual financial assistance from the Agency.

Applicant

- 1(a) Applicant's Legal Name: Griffiss Local Development Corporation
- 1(b) Principal Address: 153 Brooks Road
Rome, NY 13441
- 1(c) Telephone/Facsimile Numbers: 315-338-0393
315-338-5694
- 1(d) Email Address: sidimeo@mvedge.org
- 1(e) Federal Identification Number: _____
- 1(f) Contact Person: _____
- 1(g) Is the Applicant a
- Corporation:
If yes, Public Private
If public, on which exchange is it listed?
501c(3)
 - Subchapter S
 - Sole Proprietorship
 - General Partnership
 - Limited Partnership
 - Limited Liability Corporation/Partnership
 - DISC
 - Other(specify) _____
- 1(h) State of Organization (if applicable) NYS

Applicant's Stockholders, Directors and Officers (or Partners)

2(a) Provide the following information with respect to parties with 15% or more in equity holdings:

<u>Name</u>	<u>Home Address</u>	<u>Social Security No.</u>	<u>Percentage of Ownership</u>
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Property and facility will be owned by GLDC which is a 501c(3) corporation.

2(b) Is the Applicant, or any of the individuals listed in 2(a) above, related directly or indirectly to any other entity by more than 50% common ownership? If so, indicate name of such entity and the relationship.

No.

2(c) Is the Applicant affiliated with any other entity, directly or indirectly, other than as listed in the response to 2(a) above? If yes, please indicate name and relationship of such other entity and the address thereof:

No.

Applicant's Counsel and Accountant

3(a). Applicant's Attorney

Name/Title: Joseph Saunders
Firm: Saunders Kahler
Address: 185 Genesee St., Suite 1400
Utica, NY 13501
Telephone/Fax: 315-733-0419
(315) 724-8522

3(b) Applicant's Accountant

Name/Title: Mark Semo
Firm: D'Arcangelo & Co. LLP
Address: 120 Lomond Court
Utica, NY 13502
Telephone/Fax: 315- 735-5216

Business Description

4(a) Describe the nature of your business and principal products and/or services. Attach additional sheets if necessary.

GLDC has committed to developing an advanced technology cluster of businesses in the Griffiss Business and Technology Park in Technology Heights. Building 796/798, in Technology Heights, has been targeted for renovation and expansion. The 4.526 acre site is home to the approximate 44,700 square foot facility that shall house the Griffiss Institute, including common area for training, community events and educational venues, BAE (occupying 12,370 SF) and addition information technology employers.

Part II: Project Information

5(a) Explain your project in detail. This description should include explanation of all activities which will occur due to this project. Attach additional sheets if necessary.

Renovations include of building 796 (primarily the second floor and building mechanicals) and **construction and fit out** of the building 798 facility. The total project cost for renovations, construction and fit out total \$8.1475 million. The building 798 construction totals \$5.6475 million and the Building 796 cost was \$2.5 million.

Building 798 expansion is expected to be certified under the LEED green building rating system, which is nationally accepted benchmark for the design, construction and operation of high performance green buildings.

Base building scope includes building shell and electrical/mechanical improvements:

- Includes raised computer flooring and construction of finished restrooms, all exterior site improvements.
- Building finishes to 1st and 2nd floor space
- Vestibule
- construction of SCIF space

Reasons for Project

6(a) Please explain in detail why you want to undertake this project.

Development of class A office space is critical to meet the needs and opportunities of companies in the field of technology development (information technology, cyber security, software development and engineering). The growth of this cluster is opportune with the presence of the Air Force Research Lab. Companies find that the workforce and opportunities associated with the Lab allow them to also look to expand and grow in the Griffiss Business and Technology Park and Mohawk Valley. BAE is a prime example of why GLDC took on the expansion of Building 796 and construction of 798. BAE has been on a growth curve. Without having the availability of expansion space they would not be in the position to go after additional work and bring it to their Rome division.

Further, the Griffiss Institute has developed programming to expand the opportunity of technology transfer and development of workforce development programs to benefit the growth and expansion of the technology cluster in our region. The Griffiss Institute is a non-for-profit that is working in conjunction with GLDC and occupies the small portion of the first floor of building 796 with the remaining space on the first floor of building 796 being utilized as public space.

6(b) Why are you requesting the involvement of the Agency in your project?

The involvement of the Agency is critical to ensure that the lease rate provided for the space is in-line with other corporate options; especially those possible out of the area. Further, GLDC is continuing to expand the community and education usage of the first floor of the 796 space and the PILOT will assist in allowing GLDC to afford to do that.

6(c) How will the Applicant's plans be affected if Agency approval is not granted?

The rental rate would become cost prohibitive and the common public space might need to shrink to cover the facility carrying costs.

6(d) Is the proposed project reasonably necessary to discourage the Applicant from removing such other plant or facility to a location outside the State of New York?

Yes No **If yes**, please explain briefly.

BAE has a other facilities nationwide. We need to ensure we support the growth of the Rome division.

Is the proposed project reasonably necessary to preserve the competitive position of the Applicant in its respective industry? Yes No **If yes**, please explain briefly.

The presence of the Lab strengthens GLDC and EDGE pursuing growth in the IT cluster.

6(e) Will financing by the Agency result in the removal or abandonment of a plant or other facility of the applicant or any related entity presently located in another area of New York State?

Yes No **If yes**, please explain briefly the reason for the move.

6(f) Has the Applicant or any related entity previously secured financial assistance in Oneida County (whether through the Agency, the Empire State Development Corporation, or any other entity)? Yes No

If yes, please explain (indicate date of benefit, location of facility and outstanding balance).

The GLDC has received assistance through the State and Federal government for the redevelopment of the Griffiss Business & Technology Park since the realignment of the air force operations. Further the GLDC has worked in cooperation with the IDA to have the former Air Force property transferred to the IDA through the public conveyance process.

6(g) Has the Applicant or any related entity secured financial assistance anywhere within the United States within the last 90 days or does the Applicant or any related entity anticipate receiving financial assistance within the next 90 days? Yes No

If yes, please explain.

6(h) Check all categories best describing the **type of project**:

Manufacturing

Industrial Assembly or Service

Research and Development

Warehousing

Commercial or Recreational

Pollution Control (specify) _____

Other (specify) _____

6(i) Check all categories best describing the **scope of the project**:

Acquisition of land

Acquisition of existing building

Renovations to existing building

Construction of addition to existing building

Demolition of existing building

Construction of a new building

- Acquisition of machinery and/or equipment
- Installation of machinery and/or equipment
- Other (specify) _____

6(j) Please indicate the financial assistance you are requesting of the Agency, and provide the estimated value of said assistance.

Assistance	Estimated Value
<input checked="" type="checkbox"/> Real Property Tax Abatement	\$ <u>1,510,099</u>
<input checked="" type="checkbox"/> Mortgage Tax Exemption	\$ <u>50,000</u>
<input checked="" type="checkbox"/> Sales and Use Tax Exemption	\$ <u>20,000</u>
<input type="checkbox"/> Issuance by the Agency of Tax Exempt Bonds	\$ _____

We are seeking a 1/3 PILOT for years 1-5; 1/2 PILOT for years 6-10; and 3/4 PILOT for years for 11-15 on the BAE occupied space. For the GLDC space occupied for education, GI and public space we are seeking 100% exemption. As vacant space comes available we would seek to make that taxable; in the meantime we would seek to have that space 100% exempt from real property taxes.

Part III: Facility Information

Facility (Physical Information) If multiple locations please provide information on all.

7(a) Street Address of Facility:
725 Daedalian Drive

7(b) City, Town and/or Village:
Rome
*(Note: It is important that you list **all** incorporated municipalities in which the facility lies. This information will be used in scheduling a public hearing as required by statute.)*

7(c) School District:
Rome City School

7(d) Tax Account Number(s):

Attached copies of the most recent real property tax bills. Include copies for all taxing jurisdiction for the site/ facility that IDA assistance is being sought.

7(e) For what purpose was the facility site most recently used (i.e., light manufacturing, heavy manufacturing, assembly, etc.)?

Military, to technology/ R&D

7(f) Zoning Classification of location of the project:

7(g) Please describe in detail the facility to be acquired, constructed or renovated (including number of buildings, square footage, number of floors, type of construction,) and attach plot plans, photos or renderings, if available. **Please be as specific as possible.**

The 4.526 acre site is home to the approximate 44,700 square foot facility; LEEDS certified.

Base building scope includes building shell and electrical/mechanical improvements:

- Includes raised computer flooring and construction of finished restrooms, all exterior site improvements.
- Building finishes to 1st and 2nd floor space
- Vestibule
- construction of SCIF space
- Site improvements

7(h) Has construction or renovation commenced? [X] Yes [] No

If yes, please describe the work in detail, including the date of commencement.

Spring 2008.

If no, indicate the estimated dates of commencement and completion:

Construction commencement: _____

Construction completion: _____

7(i) Will the construction or operation of the facility or any activity which will occur at the site require any local ordinance or variance to be obtained or require a permit or prior approval of any state or federal agency or body (other than normal occupancy and/or construction permits)?

Yes No

If yes, please describe.

7(j) Will the project have a significant effect on the environment? Yes No

Important: please attach Environmental Assessment Form to this Application.

7(k) What is the useful life of the facility? 39 years

7(l) Is the site in an Empire Zone? Yes No

If yes, which Empire Zone: Rome

Is the business Empire Zone certified at this location: Yes No

Attached a copy of the last Business Annual Report filed. If not certified, explain why not:

Facility (Legal Information)

8(a) With respect to the **present owner** of the facility, please give the following information:
(Note: the present owner is not necessarily the user of the facility, but that party which holds legal title to the facility.)

Legal Name: Same as applicant

Address: _____

Telephone: _____

Balance of Mortgage: _____

Holder of Mortgage: _____

If the Applicant is not the present owner of the facility, please attach any written agreements and contracts concerning the acquisition of the real property and/or equipment.

8(b) Is there a legal relationship, directly or indirectly, by virtue of common control or through related persons, between the Applicant and the present owner of the facility?
 Yes No. If yes, please explain.

8(c) Will a related real estate holding company, partnership or other entity, be involved in the ownership structure of the transaction?
 Yes No. If yes, please explain.

8(d) Will the title owner of the facility/ property also be the user of the facility?
 Yes No. If no, please explain. The occupant will be BAE, the Griffiss Institute and include public space occupied and utilized for educations, training, and public purposes.

8(e) Is the Applicant currently a tenant in the facility? Yes No

8(f) Are you planning to use the entire proposed facility?
 Yes No

If no, please give the following information with respect to tenant(s) which will remain in the facility after the completion of the project:

<u>Name of Tenant</u>	<u>Floors Occupied</u>	<u>Square Feet Occupied</u>	<u>Nature of Business</u>
BAE	2/ B798	12,370	IT
GI	1/B796	900	NFP
GI Incubator	2/B796	9900	NFP
Education/ Public Space government/ AF	1/ B796 & B798	20,000	Education, public,

8(g) Are any of the tenants related to the owner of the facility?
 Yes No
 If yes, please explain.

8(h) Will there be any other users other than the applicant to the IDA be utilizing the facility?
[x] Yes [] No

If yes, please explain. Provide detail of the contractual arrangement including any financial exchange for the use of the site or property.

BAE will rent the space for \$22.44 SF for a term of 5 years; renewal for an additional 5 years will be \$24.68 SF. When public use or training session in the facility occur the users are charged a carrying cost of the usage.

Equipment

9(a) List the principal items or categories of equipment to be acquired as part of the project. (As soon as one is available but prior to final authorizing resolution, please submit a detailed inventory of said equipment.)

n/a

9(b) Please provide a brief description of any equipment which has already been purchased or ordered, attach all invoices and purchase orders, list amounts paid and dates of expected delivery. Attach a sheet if needed.

n/a

9(c) What is the useful life of the equipment? _____ years

Part IV: Employment Information

10(a) Estimate how many construction and permanent jobs will be created or retained as a result of this project.

Construction Jobs _____ 179 _____

Permanent Jobs to be created by Project at Applicant's facility _____ 15 _____

Permanent Jobs to be retained by Project at Applicant's facility _____ 130 _____

10 (b) What is the applicant entity's current level of employment in Oneida County at the time of application. **BAE will increase the number of slots in the building by 23 up from 60. BAE has a total of 130 slots currently.**

Part V: Estimated Project Cost and Financing

11(a) List the costs necessary for the construction, acquisition or renovation of the facility. Do **not** include working capital needs, moving expenses, work in progress, stock in trade, Applicant's debt repayment, real estate broker fees or your legal fees.

Acquisition of Land	_____
Acquisition of Building(s)	_____
Renovation Costs	<u>2,500,000</u>
New Construction of Buildings	<u>5,547,500</u>
Machinery and Equipment (other than furniture costs)	<u>50,000</u>
Fixtures	_____
Installation Costs	<u>100,000</u>
Fees (other than your own broker and legal fees)	_____
Legal Fees	<u>50,000</u>
Architectural/Engineering	<u>75,000</u>
Interest on Interim Financing	_____
Other (specify)	_____
Subtotal	_____
Agency Fee	<u>\$0</u>
Total Project Cost	<u>8,332,500</u>

11(b) What amount of the Total Project Cost is the Applicant requesting financing by the Agency?

Real Estate Taxes

12(a) For each tax parcel which comprises the facility, please provide the following information, using figures from the most recent tax year:

Tax Acct #	Current Assessed Value (Land)	Current Assessed Value (Building)	Real Estate Taxes
		4,100,000	Approx 213,000

12(b) Address of Receiver of Town and/or Village Taxes:

_____ City Rome _____

12(c) Address of Receiver of School Taxes:

_____ Rome City School District _____

12(d) Will the completion of the proposed project result in the increase of the assessment of any of the parcels named above? [] Yes [x] No
 If yes, please indicate which tax account numbers will be affected.

The assessment listed includes the estimated increase in taxes due to the new construction.

Financial Information

13(a) Has the Applicant contacted any bank, financial/lending institution or private investor with respect to the financing of the proposed project?

Yes No

If yes, please provide details.

Firming up commitment; no final approval yet by GLDC.

13(b) Has the Applicant received a commitment letter for said financing?

Yes No

If yes, please submit a copy of said commitment letter along with this Application.

13(c) Please complete the Cost/Benefit Analysis form and attach to this Application.

REPRESENTATIONS AND CERTIFICATION BY APPLICANT

The undersigned requests that this Application be submitted for review to the Oneida County Industrial Development Agency's Board of Directors.

Approval of the Application can be granted solely by this Agency's Board of Directors. The undersigned acknowledges that Applicant shall be responsible for all costs incurred by the Agency and its counsel in connection with the attendant negotiations whether or not the transaction is carried to a successful conclusion.

The Applicant further understands and agrees with the Agency as follows:

1. **Annual Sales Tax Filings.** In accordance with Section 858-b(2) of the New York General Municipal Law, the Applicant understands and agrees that, if the Project receives any sales tax exemptions as part of the Financial Assistance from the Agency, in accordance with Section 874(8) of the General Municipal Law, the Applicant agrees to file, or cause to be filed, with the New York State Department of Taxation and Finance, the annual form prescribed by the Department of Taxation and Finance, describing the value of all sales tax exemptions claimed by the Applicant and all consultants or subcontractors retained by the Applicant.
2. **Annual Employment Reports.** The Applicant understands and agrees that, if the Project receives any Financial Assistance from the Agency, the Applicant agrees to file, or cause to be filed, with the Agency, on an annual basis, reports regarding the number of people employed at the project site as well as tax benefits received with the action of the OCIDA.
3. **Absence of Conflict of Interest.** The Applicant has received from the Agency a list of the members, officers and employees of the Agency. No member, officer, or employee of the Agency has an interest, whether direct or indirect, in any transaction contemplated by this Application, except as herein after described:
4. **Hold Harmless.** Applicant hereby releases Oneida County Industrial Development Agency and the members, officers, servants, agents and employees thereof (the "Agency") from, agrees that the Agency shall not be liable for and agrees to indemnify, defend and hold the Agency harmless from and against any and all liability arising from or expense incurred by (A) the Agency's examination and processing of, and action pursuant to or upon, the attached Application, regardless of whether or not the Application or the Project described therein or the tax exemptions and other assistance requested therein are favorably acted upon by the Agency, (B) the Agency's acquisition, construction and/or installation of the Project described therein and (C) any further action taken by the Agency with respect to the Project; including without limiting the generality of the foregoing, all causes of action and attorneys' fees and any other expenses incurred in defending any suits or actions which may arise as a result of any of the foregoing. If, for any reason, the Applicant fails to conclude or consummate necessary negotiations, or fails, within a reasonable or specified period of time, to take reasonable, proper or requested action, or withdraws, abandons, cancels or neglects

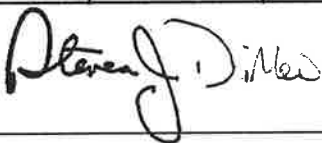
the Application, or if the Agency or the Applicant are unable to reach final agreement with respect to the Project, then, and in the event, upon presentation of an invoice itemizing the same, the Applicant shall pay to the Agency, its agents or assigns, all costs incurred by the Agency in the processing of the Application, including attorneys' fees, if any.

5. The applicant acknowledges that the OCIDA has disclosed that the actions and activities of the OCIDA are subject to the Public Authorities Accountability Act signed into law January 13, 2006 as Chapter 766 of the 2005 Laws of the State of New York.

The Applicant and the individual executing this Application on behalf of the Applicant acknowledge that the Agency will rely on the representations made herein when acting on this Application and hereby represent that the statements made herein do not contain any untrue statement of a material fact and do not omit to state a material fact necessary to make the statements contained herein not misleading.

Griffiss Local Development Corporation

(Applicant)

By: 

Name: Steven J. DiMeo

Title: President

Date: 10-8-08

Return the original and sixteen copies of the application with a check in the amount of \$250.00 made payable to: **Oneida County Industrial Development Agency**, 153 Brooks Road, Rome, New York 13441-1405, Attn.: Shawna M. Papale, Secretary.

Revised: SP 1/25/07

ONEIDA COUNTY INDUSTRIAL DEVELOPMENT AGENCY
COST/BENEFIT ANALYSIS
Required by §859-a(3) of the
New York General Municipal Law

GLDC 798796

Name of Applicant: _____
 Description of Project: Purchase of property & construct new facility

 Name of All Sublessees or Other Occupants of Facility: _____

 Principals or Parent of Applicant: _____
 Products or Services of Applicant to be produced or carried out at facility: Griffiss Institute/ Private Sector IT firm/ Public space

Estimated Date of Completion of Project: Fall 2008

Type of Financing/ Structure:
 _____ Tax-Exempt Financing
 _____ Taxable Financing
 _____ Sale/ Leaseback
 _____ Other: Lease Leaseback

Type of Benefits being Sought by Applicant
 _____ Taxable Financing
 _____ Tax-Exempt Bonds
 _____ Sales Tax Exemption on Eligible Expenses Until Completion
 _____ Mortgage Recording Tax Abatement
 _____ Real Property Tax Abatement

Project Costs

Land	
Existing Building	
Existing Bldg. Rehab	2,500,000
Construction of New Building	5657500
Addition or Expansion	
Engineering/ Architectural Fees	75000
Equipment	50000
Legal Fees (Bank, Bond, Transaction, Credit Provider, Trustee)	50000
Finance Charges (Title Insurance, Environmental Review, Bank Commitment Fee, Appraisal, Etc.)	0
Agency Fee	0
Other (provide detail)	
TOTAL COST OF PROJECT	8332500

Assistance Provided by the Following:

EDGE Loan:	
MVEDD Loan:	
Grants - Please indicate source & Amount:	
Other Loans - Please indicate source & Amount:	

Company Information

Existing Jobs
Created Jobs (over three years)
Retained Jobs

130
15
130

Average Salary of these

Positions

70000
70000
70000

Earnings Information for Oneida County

Average Salary of Direct Jobs for Applicant
Average of County Indirect Jobs
Average of Construction Jobs

70000
25000
32000

Note: \$1,000,000 in construction expenditures generates 22 person-years of employment
Construction Person Years of Employment: 179

Calculation of Benefits (3 Year Period)

Direct Jobs

Created
Existing

3150000
27300000

Total Earnings

133875
1160250

Revenues

Indirect Jobs

Created
Existing

2812500
24375000

119531.25
1035937.5

Construction - only one year

Person Years

5742696

244065

TOTALS Calculation of Benefits (3 Year Period)

63380196

2693658

TAXABLE GOODS & SERVICES

	Spending Rate	Expenditures	State & Local Sales Tax Revenues
Direct Jobs			
Created	36%	1134000	110565
Existing	0.36	9828000	958230
Indirect Jobs			
Created	0.36	1012500	98718.75
Existing	0.36	8775000	855562.5
Construction - only one year			
Person Years	0.36	2067371	201569
TOTAL TAXABLE GOODS & SERVICES		22816871	2224645

Local (3 year) real property tax benefit (assuming 60% of jobs existing and created own a residence) with an average assessment of \$80,000 and the remainder of jobs existing created pay real property taxes through rent based on an average assessment per apartment of \$50,000.

6960000

Tax Rate for School District where facility is located:

Tax Rate for Municipality where facility is located:

Tax Rate for County:

53.53

2900000

Real Property Taxes Paid: **527805.8**

COSTS:

Real Property Taxes Abated on Improvements Only (3 yr. Period)	500,000	Amount of Taxes that would be abated by applying PILOT.
Mortgage Tax Abated (1.0%)	50000	40% of the construction and Rehab costs times the NYS & Local Sales tax
Estimated Sales Tax Abated During Construction Period (8.75%)	20000	
		FF&E

NOTE: If there is a tax-exempt financing of all or a portion of the project cost, there is a neutral cost/benefit because of lower interest rates by reason of exclusion of interest from gross income of bondholders for purposes of Federal and State income taxes. Taxable financing carries the same cost/benefit for State Income Tax purposes. Such cost/benefits cannot be quantified.

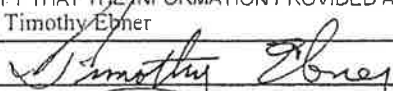
Appendix C

State Environmental Quality Review

SHORT ENVIRONMENTAL ASSESSMENT FORM

For UNLISTED ACTIONS Only

PART I - PROJECT INFORMATION (To be completed by Applicant or Project Sponsor)

1. APPLICANT/SPONSOR Bonacci Architects, pllc	2. PROJECT NAME Griffiss Institute Expansion
3. PROJECT LOCATION: Municipality City of Rome, NY County Oneida	
4. PRECISE LOCATION (Street address and road intersections, prominent landmarks, etc., or provide map) Griffiss Business and Technology Park 725 Daedalian Drive, Rome, NY (near intersection of Phoenix Drive)	
5. PROPOSED ACTION IS: <input type="checkbox"/> New <input checked="" type="checkbox"/> Expansion <input type="checkbox"/> Modification/alteration	
6. DESCRIBE PROJECT BRIEFLY: Proposed 25,000gsf building (with a 12,000gsf footprint) with associated sidewalks, storm drainage and landscaping.	
7. AMOUNT OF LAND AFFECTED: Initially <u>1.5</u> acres Ultimately <u>1.5</u> acres	
8. WILL PROPOSED ACTION COMPLY WITH EXISTING ZONING OR OTHER EXISTING LAND USE RESTRICTIONS? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If No, describe briefly	
9. WHAT IS PRESENT LAND USE IN VICINITY OF PROJECT? <input type="checkbox"/> Residential <input type="checkbox"/> Industrial <input type="checkbox"/> Commercial <input type="checkbox"/> Agriculture <input type="checkbox"/> Park/Forest/Open Space <input checked="" type="checkbox"/> Other Describe: Business and Technology	
10. DOES ACTION INVOLVE A PERMIT APPROVAL, OR FUNDING, NOW OR ULTIMATELY FROM ANY OTHER GOVERNMENTAL AGENCY (FEDERAL, STATE OR LOCAL)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If Yes, list agency(s) name and permit/approvals:	
11. DOES ANY ASPECT OF THE ACTION HAVE A CURRENTLY VALID PERMIT OR APPROVAL? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If Yes, list agency(s) name and permit/approvals:	
12. AS A RESULT OF PROPOSED ACTION WILL EXISTING PERMIT/APPROVAL REQUIRE MODIFICATION? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
I CERTIFY THAT THE INFORMATION PROVIDED ABOVE IS TRUE TO THE BEST OF MY KNOWLEDGE Applicant/sponsor name: <u>Timothy Ebner</u> Date: <u>12/15/06</u> Signature: <u></u>	

If the action is in the Coastal Area, and you are a state agency, complete the Coastal Assessment Form before proceeding with this assessment

PART II - IMPACT ASSESSMENT (To be completed by Lead Agency)

A. DOES ACTION EXCEED ANY TYPE I THRESHOLD IN 6 NYCRR, PART 617.4? If yes, coordinate the review process and use the FULL EAF.
 Yes No

B. WILL ACTION RECEIVE COORDINATED REVIEW AS PROVIDED FOR UNLISTED ACTIONS IN 6 NYCRR, PART 617.6? If No, a negative declaration may be superseded by another involved agency.
 Yes No

C. COULD ACTION RESULT IN **ANY** ADVERSE EFFECTS ASSOCIATED WITH THE FOLLOWING: (Answers may be handwritten, if legible)

C1. Existing air quality, surface or groundwater quality or quantity, noise levels, existing traffic pattern, solid waste production or disposal, potential for erosion, drainage or flooding problems? Explain briefly:

C2. Aesthetic, agricultural, archaeological, historic, or other natural or cultural resources; or community or neighborhood character? Explain briefly:

C3. Vegetation or fauna, fish, shellfish or wildlife species, significant habitats, or threatened or endangered species? Explain briefly:

C4. A community's existing plans or goals as officially adopted, or a change in use or intensity of use of land or other natural resources? Explain briefly:

C5. Growth, subsequent development, or related activities likely to be induced by the proposed action? Explain briefly:

C6. Long term, short term, cumulative, or other effects not identified in C1-C5? Explain briefly:

C7. Other impacts (including changes in use of either quantity or type of energy)? Explain briefly:

D. WILL THE PROJECT HAVE AN IMPACT ON THE ENVIRONMENTAL CHARACTERISTICS THAT CAUSED THE ESTABLISHMENT OF A CRITICAL ENVIRONMENTAL AREA (CEA)?
 Yes No If Yes, explain briefly:

E. IS THERE, OR IS THERE LIKELY TO BE, CONTROVERSY RELATED TO POTENTIAL ADVERSE ENVIRONMENTAL IMPACTS?
 Yes No If Yes, explain briefly:

PART III - DETERMINATION OF SIGNIFICANCE (To be completed by Agency)

INSTRUCTIONS: For each adverse effect identified above, determine whether it is substantial, large, important or otherwise significant. Each effect should be assessed in connection with its (a) setting (i.e. urban or rural); (b) probability of occurring; (c) duration; (d) irreversibility; (e) geographic scope; and (f) magnitude. If necessary, add attachments or reference supporting materials. Ensure that explanations contain sufficient detail to show that all relevant adverse impacts have been identified and adequately addressed. If question D of Part II was checked yes, the determination of significance must evaluate the potential impact of the proposed action on the environmental characteristics of the CEA.

- Check this box if you have identified one or more potentially large or significant adverse impacts which **MAY** occur. Then proceed directly to the FULL EAF and/or prepare a positive declaration.
- Check this box if you have determined, based on the information and analysis above and any supporting documentation, that the proposed action **WILL NOT** result in any significant adverse environmental impacts **AND** provide, on attachments as necessary, the reasons supporting this determination.

Name of Lead Agency	Date
Print or Type Name of Responsible Officer in Lead Agency	Title of Responsible Officer
Signature of Responsible Officer in Lead Agency	Signature of Preparer (if different from responsible officer)

Reset